IAPO4Rec'd PCT 06 JUN 2008 Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/579.847 Filing Date TRANSMITTAL I.A. 11/16/2004 First Named Inventor **FORM** Ivan Melnyk Art Unit Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number OY4247US (#90571) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC $|\mathbf{x}|$ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC X Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): **Extension of Time Request** Copy of Notification of Missing Request for Refund **Express Abandonment Request** Requirements; Declaration & Power CD, Number of CD(s)_ of Attorney; Postcard Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Please charge any additional fees or credit any overpayment to Deposit Account No. 08-2441 Reply to Missing Parts/ X Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name D. Peter Hochberg Co. LPA Signature Printed name D. Peter Hochberg Reg. No. Date 24,603 u 2,208

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Daniel Smola Date Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/579,847 Ivan Melnyk OY4247US(#90571)

D. Peter Hochberg
D. Peter Hochberg Co.
1940 East 6th St.
6th Floor
Cleveland, OH 44114

INTERNATIONAL APPLICATION NO.

PCT/CA04/01971

I.A. FILING DATE PRIORITY DATE

11/16/2004 11/17/2003

CONFIRMATION NO. 3630 371 FORMALITIES LETTER



Date Mailed: 05/19/2008

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 05/17/2006
- Copy of the International Search Report filed on 05/17/2006
- Copy of IPE Report filed on 05/17/2006
- Preliminary Amendments filed on 05/17/2006
- Information Disclosure Statements filed on 05/17/2006
- Request for Immediate Examination filed on 05/17/2006
- U.S. Basic National Fees filed on 05/17/2006
- Priority Documents filed on 05/17/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

page 1 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TERRY M JOHNSON-VESSELS

Telephone: (703) 308-9140 EXT 221

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

AABO

Undertine Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004 pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/579,847 **Application Number** TRANSMIT Filing Date I.A. 11/16/2004 For FY 2008 First Named Inventor Ivan Melnyk **Examiner Name** X Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT OY4247US (#90571) (\$) 65.00 0980 Attorney Docket No. METHOD OF PAYMENT (check all that apply) X Credit Card Other (please identify): Money Order None Deposit Account Name: D. Peter Hochberg Co., L.P.A. 08-2441 Deposit Account Deposit Account Number: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES **Small Entity** Small Entity Small Entity Fees Paid (\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 310 155 510 255 210 105 210 130 65 Design 105 100 50 160 80 Plant 210 105 310 155 620 310 310 510 255 Reissue 155 Provisional 210 105 0 0 0 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 210 105 Each independent claim over 3 (including Reissues) 185 370 Multiple dependent claims Multiple Dependent Claims **Total Claims Extra Claims** Fee (\$) Fee Paid (\$) Fee Paid (\$) - 20 or HP = Fee (\$) HP = highest number of total claims paid for, if greater than 20. -0000077 10579847 06/09/2008 GFREY1 **Extra Claims** Fee Paid (\$) Fee (\$) 65.00 OP - 3 or HP = 01 FC:2617 HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Number of each additional 50 or fraction thereof Fee (\$) **Extra Sheets** Total Sheets 0.00 (round up to a, whole number) x 0.00 Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Notification of Missing Requirements - Small Entity 65.00

| SUBMITTED BY | | | | | |
|-------------------|-------------------|-----------------------------------|--------|-----------|--------------|
| Signature | D Hately | Registration No. (Attorney/Agent) | 24,603 | Telephone | 216-771-3800 |
| Name (Print/Type) | D. Peter Hochberg | | | Date On | ue 2, 2008 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known Effective on 12/08/2004. ursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/579,847 **Application Number** TRANSMIT I.A. 11/16/2004 Filing Date For FY 2008 Ivan Melnyk First Named Inventor **Examiner Name** X Applicant claims small entity status. See 37 CFR 1.27 Art Unit OV/4047110 (#00571)

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|--|--|-------------------------|------------------------|------------------------|---------------------------------------|------------------------|----------------|--|
| METHOD OF PAYMENT (check all that apply) | | | | | | | | |
| Check X Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number 08-2441 Deposit Account Name: D. Peter Hochberg Co., L.P.A. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee X Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| FEE CALCULATION | | | | | · · · · · · · · · · · · · · · · · · · | | | |
| 1. BASIC FILING, SEAR | FILING | FEES Small Entity | SEARC | H FEES Small Entity | S | TION FEES | Fees Paid (\$) | |
| Application Type Utility | <u>Fee (\$)</u> 310 | <u>Fee (\$)</u> 155 | <u>Fee (\$)</u> 510 | <u>Fee (\$)</u> 255 | <u>Fee (\$)</u> 210 | <u>Fee (\$)</u> 105 | i ces raid (v) | |
| Design | 210 | 105 | 100 | 233 50 | 130 | 65 | | |
| Plant | 210 | 105 | 310 | 155 | 160 | 80 | | |
| Reissue | 310 | 155 | 510 | 255 | 620 | 310 | | |
| | 210 | | | | 020 | 0 | · · | |
| Provisional 210 105 0 0 0 0 | | | | | | | | |
| Total Claims | Extra Clair | ms Fee (\$) | Fee P | aid (\$) | | | pendent Claims | |
| - 20 or HP = | | x | = | | | <u>Fee (\$)</u> | Fee Paid (\$) | |
| HP = highest number of total Indep. Claims - 3 or HP = HP = highest number of indep | Extra Clai | ms <u>Fee (\$)</u> x | <u>Fee P</u> | aid (\$) | | | | |
| 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 | | | | | | | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) x 0.00 Fee Paid (\$) OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) | | | | | | | | |
| Other (e.g., late filing surcharge): Notification of Missing Requirements - Small Entity 65.00 | | | | | | | | |

| SUBMITTED BY | | | | |
|-------------------|-------------------|-----------------------------------|--------|------------------------|
| Signature | D Rollin | Registration No. (Attorney/Agent) | 24,603 | Telephone 216-771-3800 |
| Name (Print/Type) | D. Peter Hochberg | | | Date Ome 2, 2008 |

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JUN 0 6 2008 W

CERTIFICATE OF MAILING

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Date: June 2, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Ivan Melnyk

Serial No.

10/579,847 (Conf. No. 3630)

International App. No.:

PCT/CA2004/01971

I.A. Filed

11/16/2004

Title

OPTICAL DEVICE AND METHOD FOR

SENSING MULTIPHASE FLOW

Examiner

:

Art Unit

:

Attorney Docket

OY4247US (#90571)

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Response to Notification of Missing Requirements under 35 U.S.C. 371

Sir:

In response to the Notification of Missing Requirements issued on May 19 2008 a Combined Declaration and Power of Attorney executed by the inventor is submitted herewith. A copy of the Notification of Missing Requirements and the requisite fee are also enclosed herewith.

Respectfully submitted,

Date: July 2, Wos

D. Potor Udol

Reg. No. 24,603